

A Simple Deep Learning Approach for Detecting Duplications and Deletions in Next-Generation Sequencing Data

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1 **Abstract**

2 Copy number variants (CNV) are associated with phenotypic variation in several species. However,
3 properly detecting changes in copy numbers of sequences remains a difficult problem, especially in lower
4 quality or lower coverage next-generation sequencing data. Here, inspired by recent applications of machine
5 learning in genomics, we describe a method to detect duplications and deletions in short-read sequencing
6 data. In low coverage data, machine learning appears to be more powerful in the detection of CNVs than
7 the gold-standard methods or coverage estimation alone, and of equal power in high coverage data. We also
8 demonstrate how replicating training sets allows a more precise detection of CNVs, even identifying novel
9 CNVs in two genomes previously surveyed thoroughly for CNVs using long read data.

10 Available at: <https://github.com/tomh1ll/dudeml>

11 **Keywords:** Duplication, Deletion, Machine-Learning, Next-generation sequencing, coverage

12 **Introduction**

13 Copy number variation (CNV) of DNA sequences is responsible for functional phenotypic variation in
14 many organisms, particularly when it comes to causing or fighting diseases (STURTEVANT 1937; INOUE
15 AND LUPSKI 2002; RASTOGI AND LIBERLES 2005; JENNIFER L. NEWMAN. 2006; REDON *et al.* 2006;
16 UNCKLESS *et al.* 2016). Despite its importance, properly detecting copy number variants is difficult and so
17 the extent that CNVs contribute to phenotypic variation has yet to be fully ascertained (REDON *et al.* 2006;
18 CHAKRABORTY *et al.* 2017). This detection difficulty is due to challenges in aligning CNVs, with similar
19 copies being combined in both Sanger-sequencing and with mapping short-read NGS data to a reference
20 genome lacking the duplication (REDON *et al.* 2006; YE *et al.* 2009). Several tools have been developed to
21 detect these CNVs in next-generation sequencing (NGS) data, but for proper accuracy, they require high
22 coverages of samples (for the detection of split-mapped reads, or better estimations of relative coverage),
23 long-reads (able to bridge the CNVs) or computationally intensive methods (REDON *et al.* 2006; YE *et al.*
24 2009; CHEN *et al.* 2016; CHAKRABORTY *et al.* 2017). This limits the ability to detect CNVs between
25 samples sequenced to relatively low coverages, with short reads on lower quality genomes.

26 The recent development of numerous machine learning techniques in several aspects of genomics
27 suggests a role for machine learning in the detection of copy number variants (ROSENBERG *et al.* 2002;
28 SHEEHAN AND SONG 2016; SCHRIDER *et al.* 2017; SCHRIDER AND KERN 2018). Contemporary machine
29 learning methods are able to classify windows across the genome with surprising accuracy, even using
30 lower quality data (KERN AND SCHRIDER 2018). Additionally, machine learning techniques are generally
31 less computationally intensive than other modern methods such as Approximate Bayesian computation,

32 because the user providing a training set for the supervised detection of classes (BEAUMONT *et al.* 2002;
33 SCHRIDER AND KERN 2018).

34 Here we introduce a novel deep-learning-based method for detecting duplications and deletions,
35 named ‘**D**uplication and **D**eletion Classifier using **M**achine **L**earning’ (dudeML). We outline our rationale
36 for the statistics used to detect CNVs and the method employed, in which we calculate relative coverage
37 changes across a genomic window (divided into sub windows) which allows for the classification of
38 window coverages using different machine learning classifiers. Using both simulated and known copy
39 number variants, we show how dudeML can correctly detect copy number variants and outperforms basic
40 coverage estimates alone.

41 **Methods**

42 **Machine learning method and optimization**

43 Inspired by recent progress in machine learning for population genomics (SCHRIDER AND KERN 2016;
44 KERN AND SCHRIDER 2018; SCHRIDER AND KERN 2018), we sought to develop a method to accurately and
45 quickly classify the presence or absence of copy number variants in genomic windows using a supervised
46 machine learning classifier. Based on previous software and methods for copy number detection (YE *et al.*
47 2009; CHEN *et al.* 2016), we identified a number of statistics that may help determine if a duplication or
48 deletion is present in a particular window. We reasoned that both standardized and normalized median
49 coverage should indicate if a window is an outlier from the coverage (Figure 1, black), and that the standard
50 deviation increases in regions with higher coverage, decreases in regions with lower coverage but increase
51 dramatically at CNV edges due to rapid shifts in coverage (Figure 1, grey). Another component of some
52 CNV detection algorithms are unidirectional split mapped reads which also indicate the breakpoint of a
53 structural variant such as a deletion or tandem duplication (expected at the red/blue borders in Figure 1)
54 (YE *et al.* 2009; PALMIERI *et al.* 2014).

55 In this classifier, we used these measures across a set of windows to define the copy number and
56 CNV class of the focal window at the center (Figure 2A). Initially, we sought to identify which of the
57 statistics (and in what windows) are most useful for determining the presence or absence of a copy number
58 variant, relative to a reference genome. To do this, we simulated tandem duplications and deletions (100-
59 5000bp) across the *Drosophila melanogaster* reference chromosome 2L. We then simulated 100bp paired-
60 end reads for this chromosome using WGsims (LI 2012) and mapped these to the standard reference 2L
61 using BWA and SAMtools (LI AND DURBIN 2009; LI *et al.* 2009), with repeats masked using RepeatMasker
62 (SMIT AND HUBLEY 2015). We also simulated a second set of CNVs and related short read data as a test
63 set.

64 To identify candidate CNVs, we calculated the statistics derived above in windows between 10bp
65 and 1000bp (sliding the same distance). We reformatted the data to vectors including the statistics for a
66 focal sub window and 10 sub windows upstream and downstream, creating a set of statistics describing the
67 20 sub windows around a focal sub window, for every window set on the chromosome. We then assigned
68 each window a class, based on the known copy number and known class (deletion, duplication or normal)
69 for the focal sub window. We trained a random forest classifier with 100 estimators (PEDREGOSA *et al.*
70 2011) to extract what features are necessary to classify the central sub window as containing a CNV or not.
71 We examined the contribution of statistics to classifying focal sub-windows and qualitatively removed
72 those unimportant to the classifier e.g. statistics which appeared to not contribute to classification in any
73 degree in any sub windows were removed upon visual inspection. This scripts and tutorial for this process
74 are available at <https://github.com/tomh1ll/dudeml>, including the tool for detecting CNVs.

75 To further hone the method we determined how window size (10 - 1000bp), number of windows
76 (1 - 41), coverage of data (0.2 - 40) the frequency of CNV in a pool (0.05 - 1), and how the machine learning
77 model affects the ability to correctly classify a CNV in simulated data (Random Forest 100 estimators and
78 500 estimators, Extra Trees 100 and 500 estimators, Decision Tree, and Convolutional Neural Network
79 classifiers) (PEDREGOSA *et al.* 2011). In each case we changed only one variable, otherwise coverage was
80 set at 20-fold, window-size was set at 50bp, the number of sub windows each side was set to 5 and the
81 model was set as Random Forest (100 estimators). For all comparisons (coverages, window sizes, number
82 of windows or model comparisons) we counted the number of True and False positive CNVs and estimated
83 a receiver operating characteristic curve (BROWN AND DAVIS 2006).

84 We used bedtools (QUINLAN AND HALL 2010) and RepeatMasker (SMIT AND HUBLEY 2015) to
85 identify regions on chromosome 2L without high levels of repetitive content. Following this, we simulated
86 2000 duplications and 2000 deletions across these regions, varying in size between 100bp and 5000bp. To
87 assess a machine learning classifiers ability to detect CNVs across pooled data, for three replicates, we
88 created a further subset of CNVs present at different frequencies in pools of chromosomes, for pools of 2
89 (the equivalent of sequencing an outbred diploid individual), 5, 10 and 20 chromosomes, allowing the CNV
90 to vary in frequency between 5% and 100% across samples, based on the number of chromosomes
91 simulated (e.g. a 50% minimum in a pool of 2 chromosomes, equivalent to a heterozygous CNV, and a 5%
92 minimum in a pool of 20, equivalent to a singleton CNV in a pool of 10 diploid individuals). This process
93 was repeated twice to create independent test and training sets, both with known CNVs.

94 We generated chromosomes containing simulated CNVs and simulated reads for these
95 chromosomes using WGsims (LI 2012). We simulated reads to multiple median depths of coverage per base,

96 between 0.2 to 20. We then combined all reads for each pool set and mapped these reads to the *D.*
97 *melanogaster* iso-1 reference 2L using BWA and SAMtools (LI AND DURBIN 2009; LI *et al.* 2009).

98 For each data set, of varying window sizes, coverages and pool sizes, we then reformatted each
99 window as described above to give the statistics for the focal window and 5 windows up and downstream,
100 unless otherwise stated. For each training set, we defined each vector by their presence in a duplication,
101 deletion or neither. For each window we also assigned the number of copies found of that window per
102 chromosome, e.g. 0 for a fixed deletion, 0.5 for a deletion found in 50% of chromosomes, 1.75 for a
103 duplication found in 75% chromosomes etc. We then used SKlearn to train a classifier based on the vectors
104 assigned to each class (PEDREGOSA *et al.* 2011). The classifiers were then used to assign classes to windows
105 in the test sets, which were then compared to their known designations to identify the true positive detection
106 rate of each set.

107 **Testing the classifier on real data with known CNVs**

108 To test the classifier in known copy number variants, we downloaded the *D. melanogaster* iso-1 and A4
109 reference genomes (DOS SANTOS *et al.* 2015; CHAKRABORTY *et al.* 2017). Then, based on (CHAKRABORTY
110 *et al.* 2017), we extracted windows with known duplications and deletions relative to each other, for
111 example a tandem duplication present in one genome but not the other would appear as a deletion. We
112 downloaded short reads for each *D. melanogaster* genome (iso-1: SRA ERR701706-11, A4:
113 <http://wfitch.bio.uci.edu/~dspr/Data/index.html>) and mapped them to both genomes separately using BWA
114 and SAMtools (LI AND DURBIN 2009; LI *et al.* 2009). Using the previously described methods, we
115 calculated the coverage statistics for each window of each genome using bedtools and custom python
116 scripts. Using the training set described previously, we then classified each window of the iso-1 and A4
117 strains mapped to both their own genome and the alternative reference and compared to the previously
118 detected CNVs, this allowed us to find potential false-positives that may be due to reference genome issues.

119 For each dataset, we also simulated 100 independent training sets, which we used to test the
120 effectiveness of bootstrapping the random forest classifier. Each window was reclassified for each bootstrap
121 training set, which are then used to calculate the consensus state for each window and the proportion of
122 bootstrap replicates supporting that states.

123 Finally, to validate any apparent ‘False-Positive’ CNVs identified with our machine learning
124 classifier, we downloaded Pacific Bioscience long read data for both Iso-1 and A4 (A4 PacBio SRA:
125 SRR7874295 - SRR7874304, Iso-1 PacBio SRA: SRR1204085 - SRR1204696), and mapped this data to
126 the opposite reference genome. For each high confidence (greater than 95% of bootstraps) ‘False-Positive’
127 CNV, we manually visualized the PacBio data in the integrative genomics viewer (ROBINSON *et al.* 2011),

128 looking for changes in coverage and split-mapped reads. For a randomly chosen group of these CNVs, we
129 designed primers and confirmed CNVs using PCR (Supplementary Data 1 & 2). We designed primer pairs
130 around each CNV to assess product size differences between strains, as well as inside the CNV for strain
131 specific amplification for deletions or laddering in the case of duplications. PCR products from primer sets
132 in both Iso-1 and A4 were then run on a 2% gel using gel electrophoresis (Supplementary Figure 5).

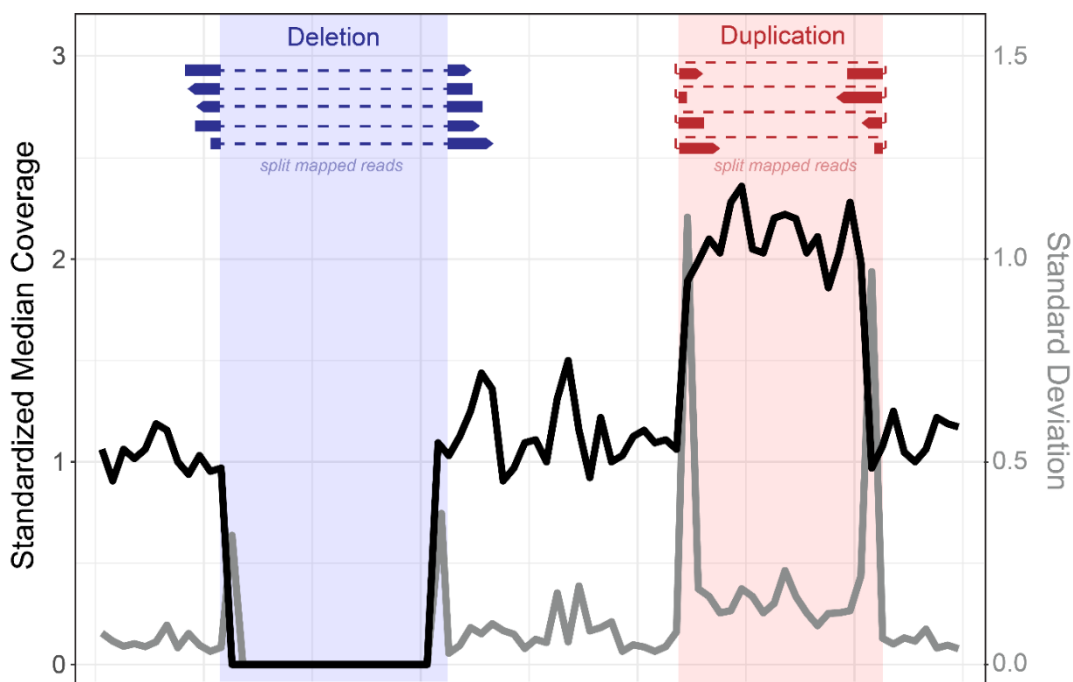
133 **Results and Discussion**

134 **A machine learning classifier can detect CNVs with high accuracy**

135 We sought to develop a quick, simple and accurate classifier of copy number variants in next generation
136 sequencing data (PEDREGOSA *et al.* 2011; SCHRIDER AND KERN 2016; SCHRIDER *et al.* 2017). First, we
137 assessed how useful multiple statistics are in the detection of non-reference duplications and deletions in
138 short-read next-generation sequencing data (Figure 1). We simulated short read data for a chromosome
139 containing multiple insertions and deletions relative to a reference genome and mapped these reads to the
140 original reference chromosome. For windows across the chromosome we then calculated several statistics
141 thought to be helpful for detecting copy number variants (CNVs) including standardized and normalized
142 median coverage, the standard deviation of the standardized or normalized coverage within each window,
143 and the number of split mapped reads across the window. We reasoned that each of these statistics can
144 signal the increase or decrease of copy number of a sequence relative to a reference genome (Figure 1, see
145 Materials and Methods). For each focal window we also included these statistics for neighboring windows.
146 These vectors of statistics for windows with known CNVs are then fed into a machine learning classifier,
147 which identifies the values most important to the correct classification of copy number. For simplicity we
148 will refer to this classifier as the **D**uplication and **D**eletion Classifier using **M**achine **L**earning (dudeML)
149 moving forward. The tool developed as a wrapper for the pipeline, instructions for installation, specifics of
150 the pipeline for detecting copy number variants, and the location of test data used in this manuscript are
151 available at <https://github.com/tomh1ll/dudeml>.

152

153 **Figure 1.** Schematic demonstrating the rationale behind each statistic used to initially determine the
 154 presence/absence of each copy number variant. We expect the Standardized median coverage (black line)
 155 to increase in duplications (red) and decrease in deletions (blue). We expect the standard deviation of the
 156 standardized coverage to greatly increase at the edges of CNVs (grey line). At the borders of CNVs we also
 157 expect an increase in split mapped reads, specifically across the edges of deletions (dark blue) or within a
 158 tandemly duplicated region (dark red).



159
 160
 161 Using dudeML on high coverage (>20-fold), simulated copy number variants, we find that both
 162 standardized and normalized median coverage and standard deviation are important for classifying a
 163 window. However, because normalized coverage relies on knowing the coverage distribution of a sample,
 164 we chose to remove this statistic from further analysis. Surprisingly, the number of split reads (reads where
 165 two ends map to different regions of the genome) is relatively unimportant for finding CNVs (Figure 2A).
 166 Though the breadth of a distribution will vary depending on the window-size and mean size of the CNV,
 167 the most important windows for classifying a CNV appear to be the focal window and up to 5 windows up
 168 and downstream of the focal window (Figure 2B). On a related note, increasing the number of windows
 169 surrounding the focal window decreases the true-positive rate due to a repeat content interfering with the
 170 classifier (Supplementary Figures 1 & 2, true-positive rate ~ window number, GLM t-value = -12.056, p-
 171 value = 2.478e-33). We also find different statistics have different contributions across different window
 172 sizes, for example, larger windows are more likely to include the edges of the CNV so standard deviation

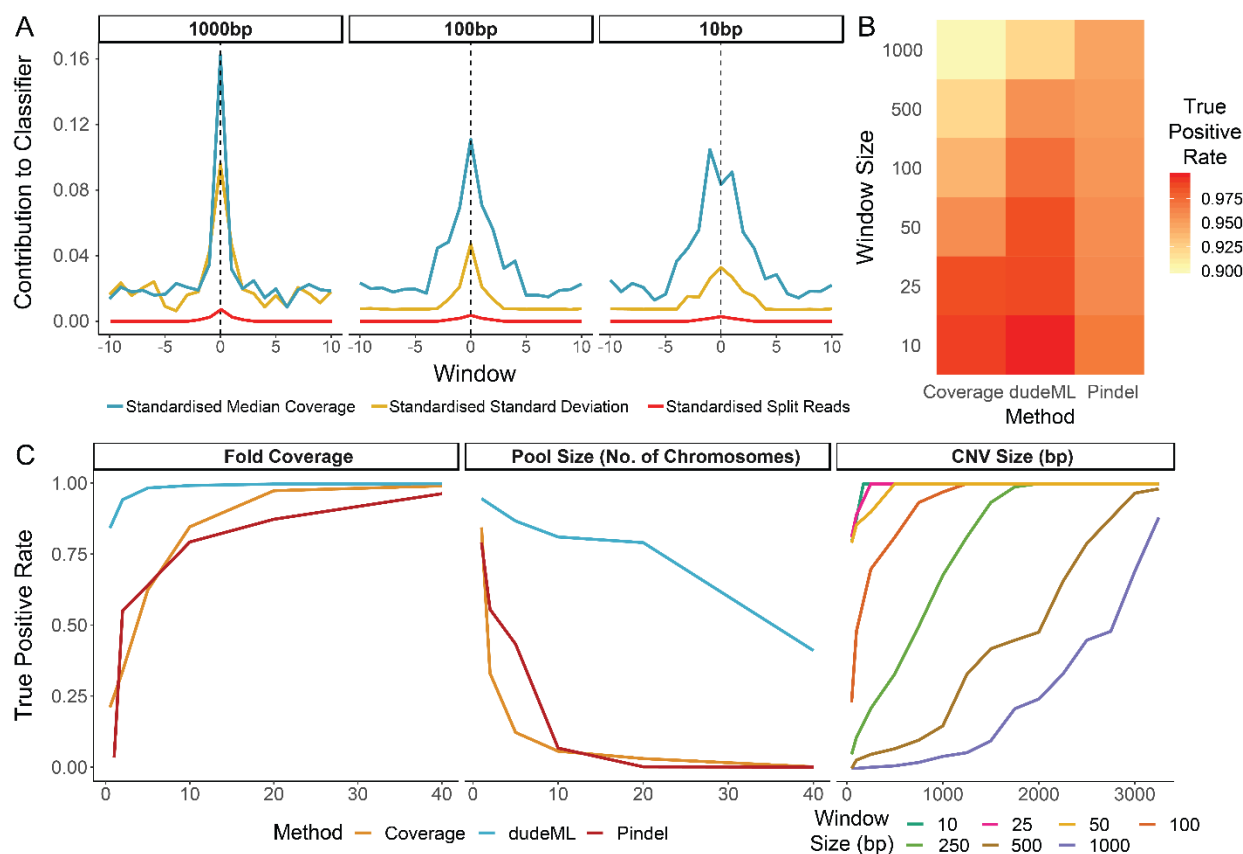
173 is more important for CNV classification in larger windows (Figure 2A). However, larger windows appear
174 to have lower true-positive rates, again due to the increased chance of overlapping with repeat content
175 (Supplementary Figures 1 & 2, true-positive rate ~ window size: GLM t-value = -2.968, p-value = 0.00303).

176 We also compared different supervisor machine learning classifiers and found little qualitative
177 difference between them, though the most successful classifier on simulated data was a Random Forest
178 Classifier (Supplementary Figure 1 & 2, true-positive rate ~ classifier GLM t-value = 5.758, p-value =
179 8.65e-09), with no significant difference between 100 and 500 estimators (GLM t-value = -0.133, p-value
180 = 0.246) (PEDREGOSA *et al.* 2011).

181 For this high coverage simulated data (20-fold coverage), containing known CNVs, we compared
182 dudeML to the prediction of a CNV based on copy number alone (rounding the coverage to the nearest
183 whole value), or Pindel (YE *et al.* 2009), a frequently used method for deletion and duplication prediction.
184 dudeML has a higher rate of success predicting the presence of a CNV and the windows in which the CNV
185 starts/ends (Figure 2B, including false-positives and negatives in all cases). However, the success of a
186 window-based approach decreases as windows increase in size, for both the machine learning classifier and
187 coverage alone, with Pindel having a higher success rate for CNVs compared to dudeML using sub-
188 windows greater than ~250bp (Figure 2B). As dudeML is not optimized to function in regions with
189 repetitive content, it also lacks the ability to detect CNVs in repetitive regions, unlike Pindel (YE *et al.*
190 2009). Overall, dudeML has higher success at fine window sizes or in lower coverage data (Figure 2) while
191 for very high coverage data for large CNVs, Pindel appears to be superior (Figure 2).

192

193 **Figure 2. A.** Relative contribution of each statistic to the classification of copy number variants, across
 194 windows in increasing distance from the focal window (dashed lined), separated by window size. **B.** The
 195 true positive rate of identification of simulated CNVs based on either, median coverage of the window,
 196 dudeML and Pindel. For Pindel, the overlap of called and true CNVs, rounded to the nearest window size,
 197 was used. **C.** Comparison of detection of copy number variants between Pindel, pure coverage estimations
 198 and using dudeML for varying parameters. Detection rate decreases across all methods with decreasing
 199 coverage and with increasing pool sizes. dudeML loses the ability to detect smaller CNVs with increasing
 200 window size (only shown for dudeML). Note that in **B** and **C**, for all comparisons, windows which cannot
 201 be examined in all cases (including repetitive regions) have been removed.



202 **CNV machine learning classifiers are relatively agnostic to coverage and can detect CNVs in pooled**
 203 **data with relatively high accuracy**
 204

205 We next tested the extent that changing different parameters affected dudeML's ability to correctly
 206 detect CNVs, compared to pure copy number estimates (rounding the coverage to the nearest whole value),
 207 or Pindel (YE *et al.* 2009). We examined the effects of decreasing coverage, increasing window size and
 208 increasing the number of sub windows on correctly classifying CNVs with dudeML, in comparison to
 209 Pindel and coverage estimates for decreasing coverage. As expected, all three methods (dudeML using

210 eleven 50bp windows, Pindel and pure coverage) have a decreasing true-positive rate with decreasing
211 mapped coverage (Supplementary Figures 1 & 2, true-positive rate ~ coverage GLM t-value = 209.4 p-
212 value < 2e-16). However, the correct detection of variants and their copy number is above 95% for
213 euchromatic regions with dudeML until coverage is below 2-fold (Figure 2C, 99.8% above 10-fold, 48%
214 at 0.5-fold). This can also be seen in the ROC curves for duplications and deletions at different sample
215 coverages (Supplementary Figure 1) and in the proportion of true-positives found (Supplementary Figure
216 2). Note that the ROC curves include all windows across the genome (including windows with no CNVs),
217 potentially inflating the true-positive rate (Supplementary Figure 1), while the second instance, CNVs in
218 regions of the genome not analyzed are also included, inflating the false-negative rate (Supplementary
219 Figure 2).

220 Compared to dudeML, Pindel and pure coverage estimation decreases in effectiveness faster than
221 linearly (Figure 2C, >77% above 10-fold coverage, <3.5% at 0.5-fold coverage). As Pindel relies on split-
222 mapped reads of certain mapping orientations to detect copy number variants, low coverage data likely
223 lacks an abundance of these reads for the correct detection of CNVs (YE *et al.* 2009). Similarly, the spurious
224 nature of data at low coverages prevents pure relative coverage comparisons from being useful. With
225 machine learning however, the classifier relies on thousands of similar examples in each state to more
226 reliably predict the presence or absence of a CNV, if the training data is similar to the sampled data. In fact,
227 correctly predicting a CNV in data of decreasing coverage with a poorly optimized training set has a similar
228 success rate as pure-coverage alone (Supplementary Figure 3), highlighting the importance of a training set
229 as like the true data as possible.

230 Often, populations are sequenced as pools of individuals instead of individually prepared samples,
231 due to its reducing the cost of an experiment while still providing relatively high power for population
232 genetic inference (SCHLÖTTERER *et al.* 2014). We simulated CNVs at varying frequencies throughout pools
233 of chromosomes (poolseq) to assess dudeML's ability to detect the correct number of copies of a gene in a
234 population. We generated simulated pools as both test data and training sets of 1 (haploid or inbred), 2
235 (diploid, 50% coverage), 5, 10, 20 and 40 chromosomes (pools at 1-fold coverage for each chromosome),
236 again, we compared this to Pindel's ability to detect the CNV and relative coverage estimates. In all three
237 cases, as the pool size increases, the ability to detect the correct number of copies of a window (or to detect
238 copy number variants at all in Pindel) decreases (Figure 2). However, for copy number variants above ~20%
239 frequency, dudeML is able to correctly predict their presence an average of 87% of the time, suggesting
240 that for poolseq, dudeML has high confidence in calling CNVs compared to pure coverage of Pindel, but
241 low confidence in accurate frequency prediction (< 21% success rate in both methods). This is likely as the
242 changes in relative coverage and proportion of split reads becomes so slight that the proper detection is not

243 feasible. For example, finding a fixed duplication in a single chromosome sample requires detecting a 2-
244 fold change in coverage, while a duplication in one chromosome in a pool of 20 requires detecting a 1.05-
245 fold change in coverage. With variance in coverage existing in even inbred samples, this makes proper
246 CNV detection at high resolution in pools unfeasible. As before, a machine learning classifier has relatively
247 higher success (Figure 2C), though still low, ranging from 47-94% proper detection. If the goal is, however,
248 to detect changes in copy number variants between two samples (either over time or between two
249 geographically distinct samples), dudeML should be enough to detect changes at around a ~20% resolution
250 with relatively high confidence (Figure 2C), such that it may not be possible to get accurate frequency
251 estimates in the pool, but should be able to infer the presence of duplications/deletions with at least 20%
252 frequency, or distinguish between CNVs present at 20% frequency and 40% frequency.

253

254 **Resampling increases CNV machine learning classifier accuracy**

255 To further tune the accuracy of our classifier, we tested its effectiveness on the detection of copy number
256 variants in real data, as opposed to simulated copy number variants in simulated reads (though with a
257 classifier still using simulated CNVs and simulated data for training). We therefore downloaded two
258 *Drosophila melanogaster* reference genomes – both assembled with long-read data – with identified
259 duplications and deletions relative to each other (A4 and Iso-1) (CHAKRABORTY *et al.* 2017). When data
260 from one reference is mapped to the other, regions with copy number variants show signatures of changes
261 in standardized coverage and standard deviation as seen in simulated data (Figure 1, Supplementary Data
262 1).

263 As before we trained the classifier based on median coverage and standard deviation of simulated
264 CNVs and standard regions, then predicted windows with duplications or deletions using a random forest
265 approach (PEDREGOSA *et al.* 2011). Strangely, and unseen in simulated examples, the proportion of false-
266 positives was extremely high, with over ten times the number of false-positives compared to true-positives
267 (Table 1). We suspected that artefacts and false CNVs were caused by real structural variants that went
268 undetected in the original training set and areas with inconsistent mapping rates, so we attempted to control
269 for this by resampling across multiple training sets with independently generated CNVs. We generated 100
270 independent training sets across both the Iso-1 and A4 reference genomes to create 100 independent
271 classifiers. Following this we performed a bootstrapping-like approach, predicting the copy number of each
272 window based on each of the 100 classifiers and taking the consensus of these calls. As the number of
273 replicates increased, the false-positive rate dropped dramatically with little effect on the true-positive rate
274 (Table 1, Figure 3B). In fact, taking CNVs found in at least 98% of the bootstraps removed all but 17 false-

275 positives. This did however remove some low confidence but real duplications, and therefore provides a
 276 conservative set of CNVs (Figure 3A) (CHAKRABORTY *et al.* 2017). This suggests that multiple independent
 277 training sets can remove any artefacts found in a single training set which may lead to false calls (Table 1,
 278 Figure 3A).

279 As so many false-positives are found with high confidence across both samples, we next visually
 280 inspected the regions of the genome called as False-Positive CNVs in at least 95 of 100 bootstraps
 281 (Supplementary Figure 4, 106 duplications and 64 deletions across both strains). We extracted long reads
 282 (> 250bp) from PacBio data for both strains and mapped these to the opposite strains genome, which we
 283 then visualized in the integrative genomics viewer (Robinson *et al.* 2011). All False-positive CNVs
 284 examined show similar signatures to true-positive copy numbers (e.g. split-mapped reads across regions of
 285 0 coverage for deletions, and supplementary alignments of reads in regions of high coverage for
 286 duplications), suggesting that they may be real CNVs and not false-positives (or at least have similar
 287 signatures to real CNVs, 18 examples given in Supplementary Data 1). We further PCR validated 12 of
 288 these CNVs, chosen at random (Supplementary Figure 5, Supplementary Data 2). While we could validate
 289 all deletions, we found no length variation in PCR product for putative duplications for primers designed
 290 outside the duplication, which suggests that if these duplications exist, they may not be tandem duplications
 291 (which would produce a longer or laddered PCR product) and instead are trans duplications or are
 292 segregating within the originally sequenced line. Logically this would fit with the absence of these CNVs
 293 in the previous survey which searched for tandem duplications specifically (CHAKRABORTY *et al.* 2017),
 294 while dudeML identifies duplications primarily based on coverage and so is agnostic to cis or trans
 295 duplications.

296

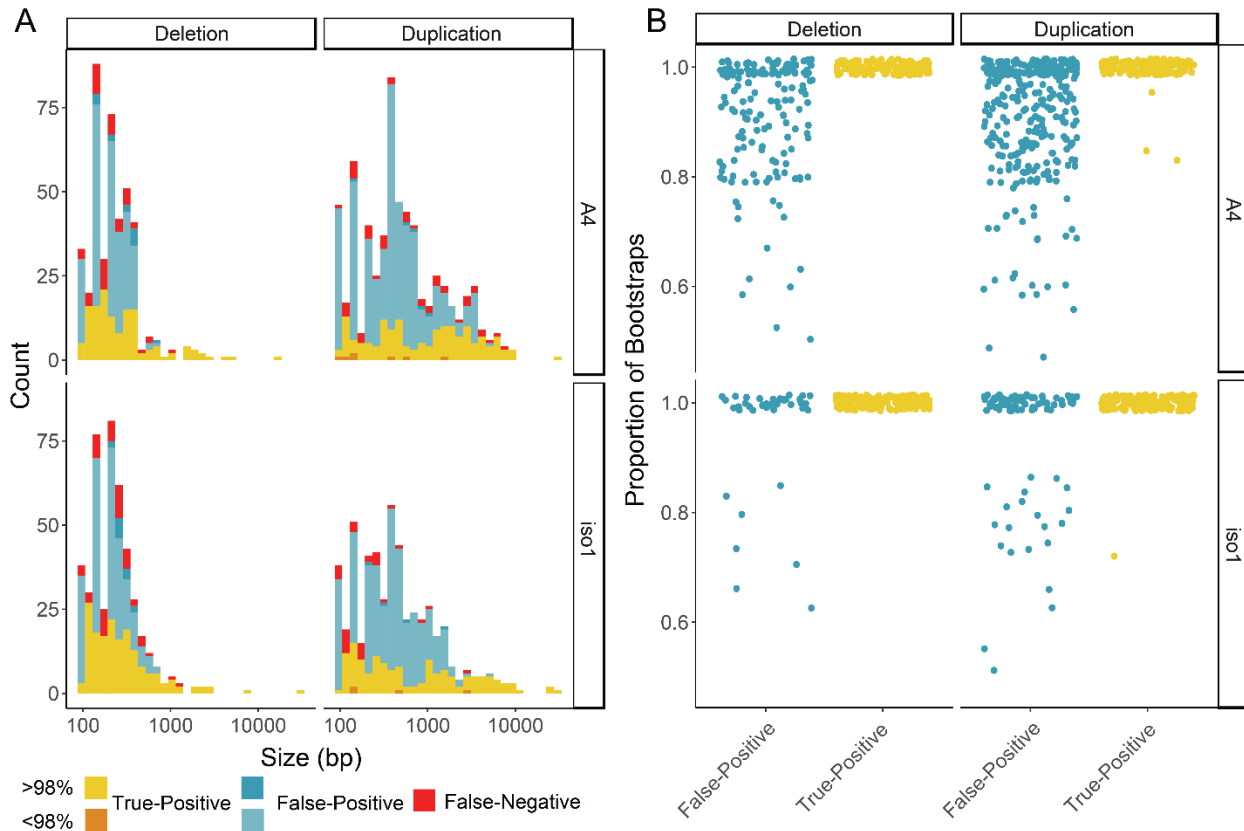
297 **Table 1:** The number of predicted copy number variants in each strain (relative to the alternate strain),
 298 compared to previously identified copy-number variants (Chakraborty *et al.* 2017) , across differing
 299 numbers of bootstraps and cutoffs, including the false-positive rate (FPR) for each category. Note that
 300 previously called CNVs not examined here (as they are in regions of the genome not analyzed) are included
 301 as False-Negatives in brackets for transparency.

Number of classifiers (% bootstrap cutoff)	Predictions	Iso-1		A4	
		Duplication	Deletion	Duplication	Deletion
1 (0)	True-positive	150	172	161	134
1 (0)	False-Positive	1615	3822	8505	1949

1 (0)	False-Negative	0	0	0	0
	FPR	0.89922049	0.94487	0.976016	0.91459
10 (0)	True-positive	150	172	161	134
10 (0)	False-Positive	398	314	566	280
10 (0)	False-Negative	0	0	0	0
	FPR	0.68739206	0.58473	0.730323	0.6060
100 (0)	True-positive	150	172	161	133
100 (0)	False-Positive	135	82	178	82
100 (0)	False-Negative	0	0	0	1
	FPR	0.4272	0.26885	0.45994	0.3742
100 (98)	True-positive	145	172	153	133
100 (98)	False-Positive	3	4	4	6
100 (98)	False-Negative	5	0	8	1
	FPR	0.01630435	0.017621	0.018779	0.03191
	total	153	176	165	140

302

303 **Figure 3: A.** Number of CNVs detected in *Drosophila melanogaster* strains with known CNVs relative to
304 each other after 100 bootstraps. CNVs are labelled by their previously known detection in these strains
305 ('True-Positive'), their lack of knowledge in these strains ('False-Positive') and if known CNVs were
306 missed ('False-Negative'). CNVs are also labelled based on the proportion of bootstraps confirming them.
307 **B.** The proportion of bootstraps for each detected CNV in **A**, separated by if they are a false-positive, true-
308 positive, duplication or deletion and by each strain.



309

310 Based on these results, bootstrapping appears to average over random effects of simulated training
 311 sets to remove a majority of false-positive CNVs called, allowing a more conservative assessment of the
 312 copy number variants found throughout an assessed strain. A majority of high confidence false-positives
 313 also appear to be actual CNVs, suggesting that dudeML can detect CNVs other tools miss – even using
 314 long read data.

315 Conclusion

316 In summary, we have shown that machine learning classifiers, even simple classifiers such as dudeML,
 317 perform quite well at detecting copy number variants in comparison to other methods, particularly in
 318 samples with reduced coverage or in pools, using just statistics derived from the coverage of a sample.
 319 These tools are not computationally intensive and can be used across a large number of datasets to detect
 320 duplications and deletions for numerous purposes. We expect machine learning to provide powerful tools
 321 for bioinformatic use in the future.

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327

328 **Declarations**

329 *Ethics approval and consent to participate*

330 Not applicable

331 *Consent for publication*

332 Not applicable

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337 *Competing Interests*

338 The author declares that they have no competing interests.

339 *Authors' contributions*

340 TH designed dudeML, performed the bioinformatics analysis and statistical analysis, performed the PCR
341 and sequencing, and read and approved the manuscript, RLU designed the CNV detection scheme, provided
342 feedback on tool design, and read and approved the manuscript.

343 *Data availability*

344 *D. melanogaster* Pacific Bioscience long read data for both Iso-1 and A4 are available on the NCBI short
345 read archive: A4 PacBio SRR7874295 - SRR7874304, Iso-1 PacBio SRR1204085 - SRR1204696. Short
346 read data was downloaded from the following sources: Iso-1 - SRA ERR701706-11, A4 -
347 <http://wfitch.bio.uci.edu/~dspr/Data/index.html>.

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349 **References**

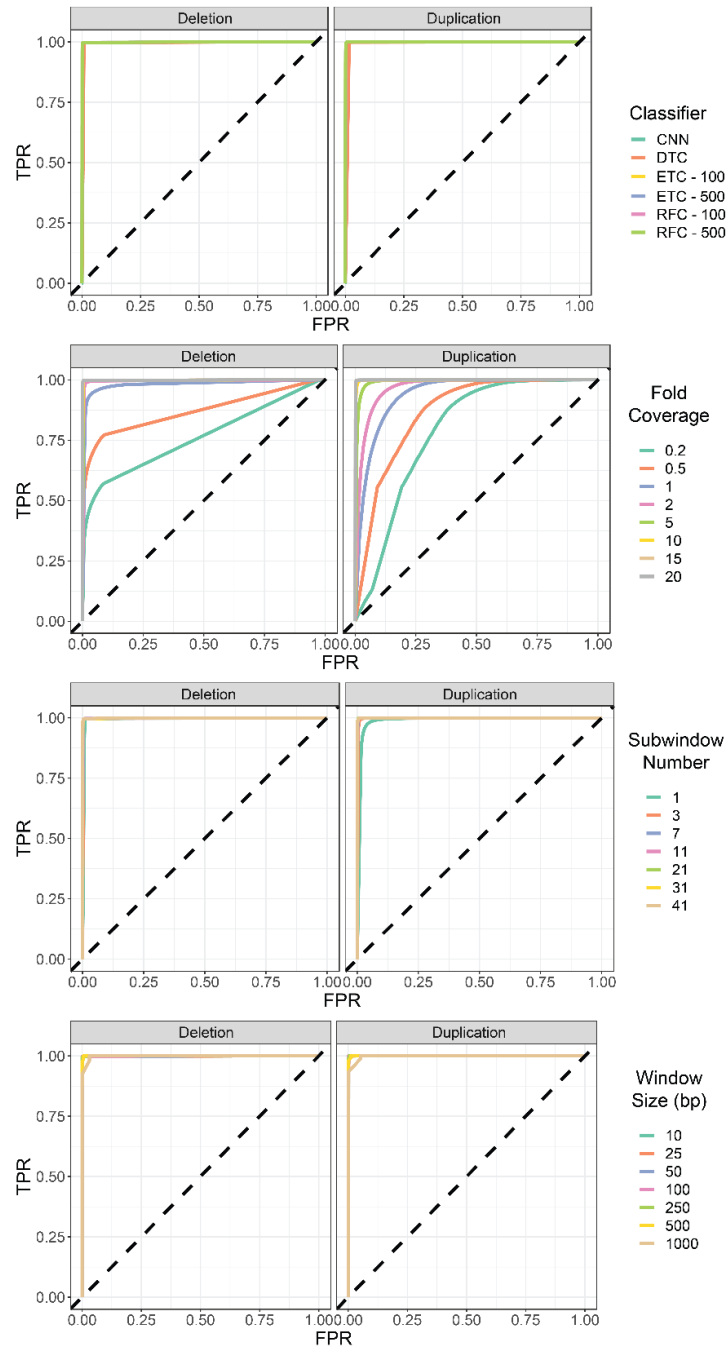
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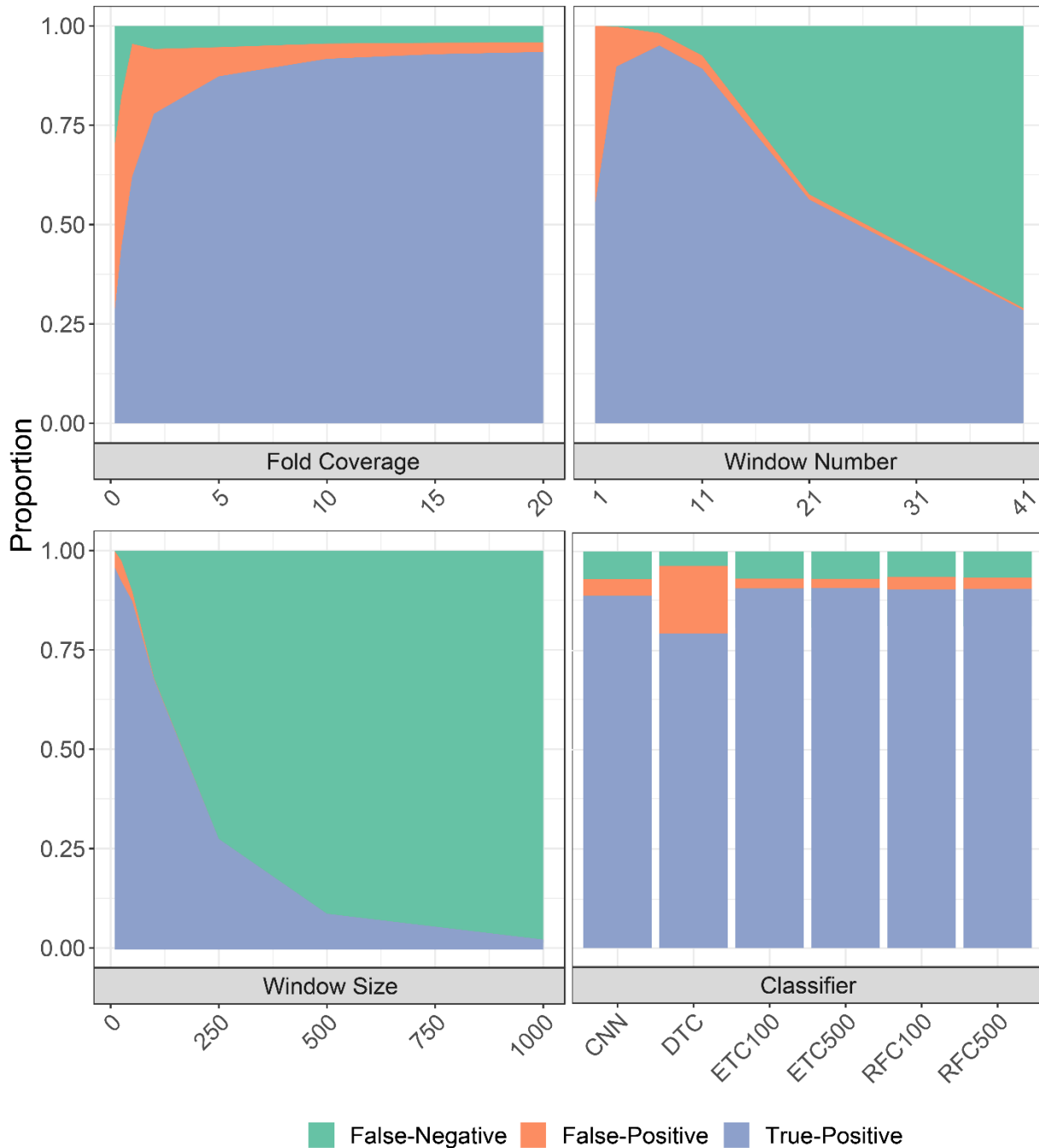
404

405 **Supplementary Figure 1:** Receiver operating characteristic (ROC) curves for correctly detecting
 406 duplications and deletions across different classifiers, sample coverages, sub-window numbers and
 407 window-sizes (denoted by line color). Classifiers used as follows: convolutional neural network (CNN),
 408 decision tree classifier (DTC), extra trees classifier with 100 estimators (ETC100), extra trees classifier
 409 with 500 estimators (ETC500), random forest classifier with 100 estimators (RFC100), random forest
 410 classifier with 500 estimators (RFC500).



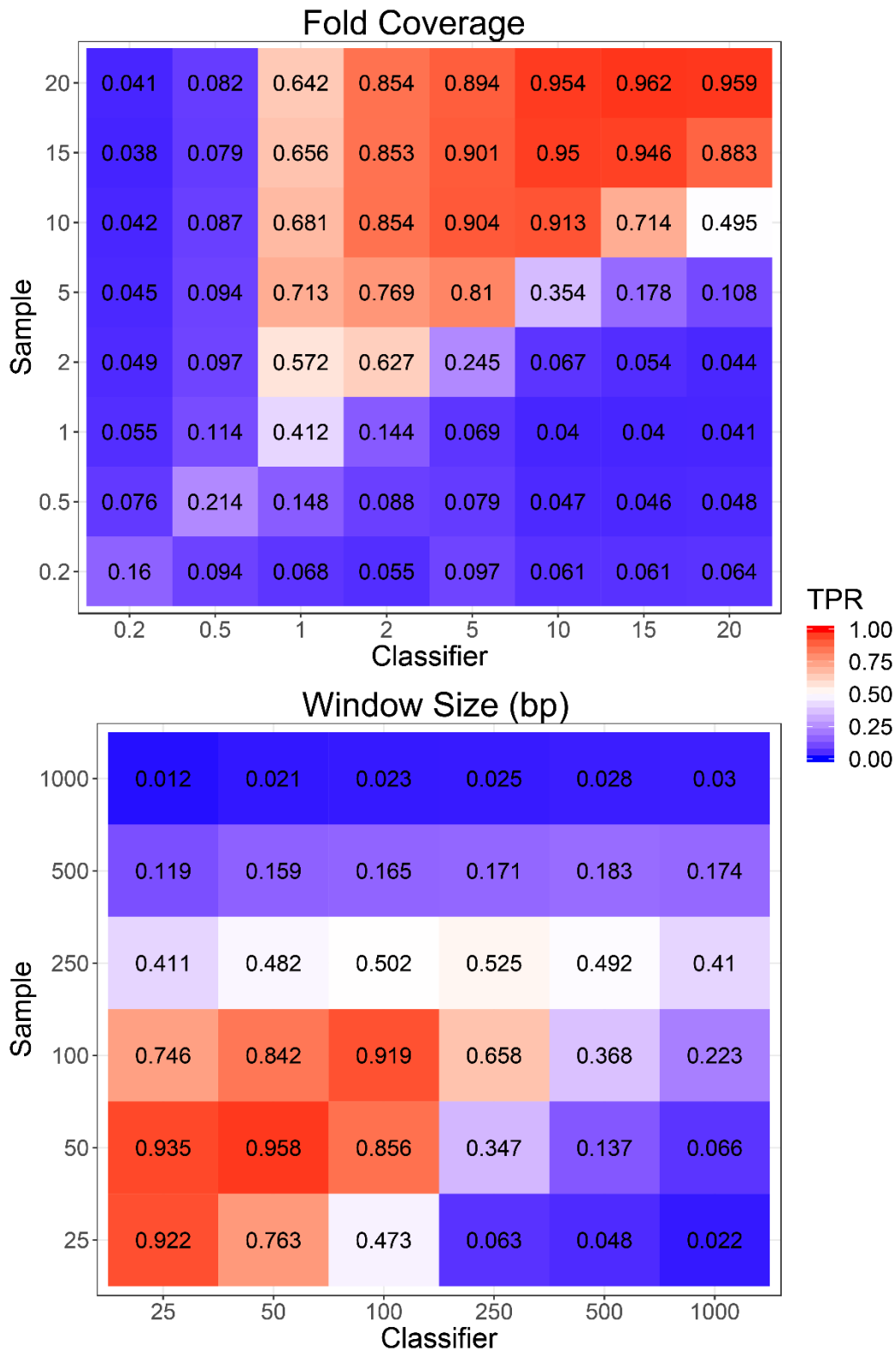
411

412 **Supplementary Figure 2:** Proportion of CNVs detected or missed given changing parameters, including
 413 different numbers of sub windows analyzed, the size of sub windows, the fold coverage of sample data
 414 analyzed and different machine learning classifiers used, including convolutional neural network (CNN),
 415 decision tree classifier (DTC), extra trees classifier with 100 estimators (ETC100), extra trees classifier
 416 with 500 estimators (ETC500), random forest classifier with 100 estimators (RFC100), random forest
 417 classifier with 500 estimators (RFC500). If parameter is not variable, it is set as follows: 20-fold coverage,
 418 11 windows, 50bp windows, random forest classifier (100 estimators).



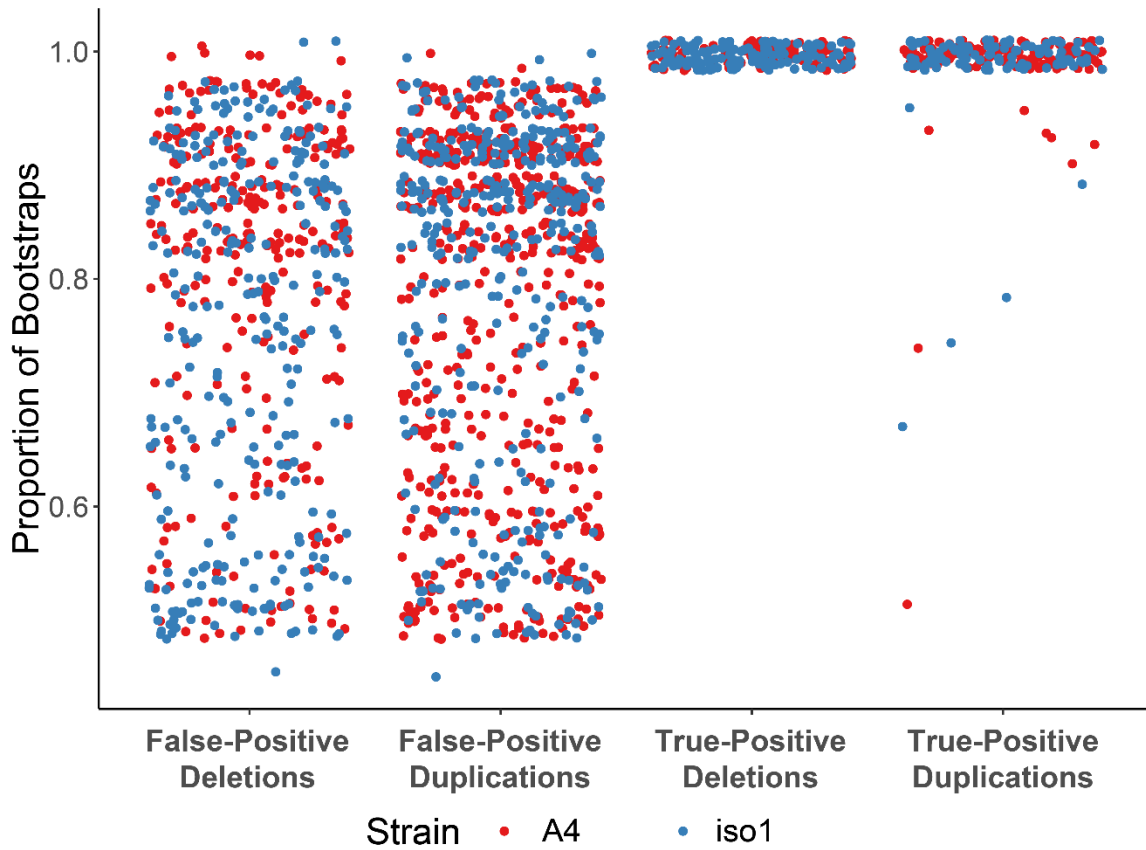
419

420 **Supplementary Figure 3:** True-Positive rates (TPR) of mis-specified training sets across different fold-
 421 coverage samples and classifiers, and different window sizes in samples and classifiers.



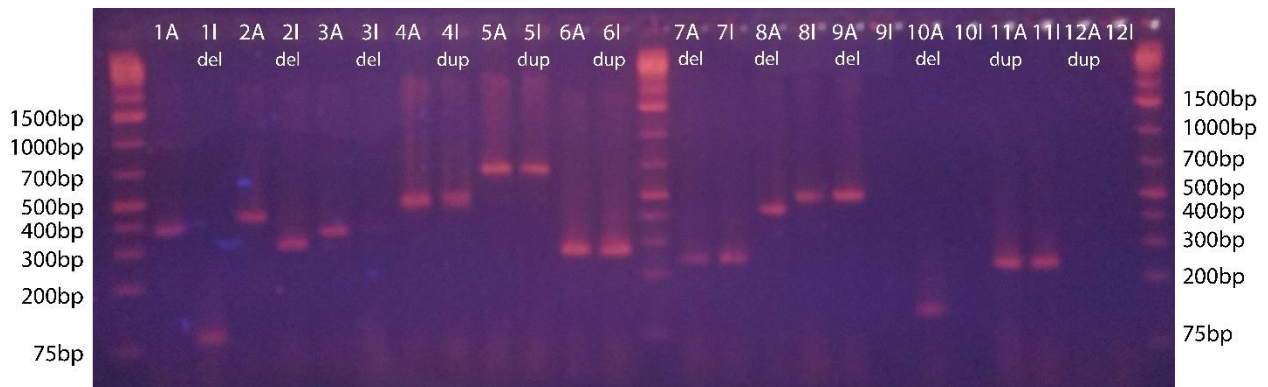
422

423 **Supplementary Figure 4:** The proportion of bootstraps for each detected CNV in Figure 2, separated by
 424 if they are a false-positive, true-positive, duplication or deletion.



425

426 **Supplementary Figure 5:** Gel electrophoresis image of PCR products from primers designed around
 427 putative CNVs missed in the previous survey, numbered as Supplementary Data 2. Deletions are shown
 428 as products shorter than expected, while duplications should be longer or show laddering. Products are
 429 ordered showing A4 (A) as the left of the pair, while Iso-1 (I) is on the right.



430

431

432 **Supplementary Data 1:** Screenshots of the integrated genomics viewer for a subset of called duplications
433 and deletions in A4 data mapped to Iso-1 reference genome and vice versa (compared to the data mapped
434 to its own reference). These CNVs were called as false-positives due to their absence in the previous
435 survey. Coverage and reads with supplementary alignments support their existence.

436 **Supplementary Data 2:** Primer Sequences of a subset of putative duplications and deletions described in
437 Supplementary Figure 5 and Supplementary Data 1.

438